

1949

USE OF BOTULINUM TOXINS FOR TREATING VARIOUS
DISORDERS AND CONDITIONS AND ASSOCIATED PAIN

5 FIELD OF THE INVENTION

10 The present invention provides novel methods for
treating various disorders and conditions, with Botu-
linum toxins. Importantly, the present invention
provides methods useful in relieving pain related to
muscle activity or contracture and therefore is of
advantage in the treatment of, for example, muscle
spasm such as Temporomandibular Joint Disease, low
back pain, myofascial pain, pain related to spasticity
15 and dystonia, as well as sports injuries, and pain
related to contractures in arthritis.

BACKGROUND OF THE INVENTION

20 Heretofore, Botulinum toxins, in particular
Botulinum toxin type A, has been used in the treatment
of a number of neuromuscular disorders and conditions
involving muscular spasm; for example, strabismus,
blepharospasm, spasmodic torticollis (cervical
25 dystonia), oromandibular dystonia and spasmodic
dysphonia (laryngeal dystonia). The toxin binds
rapidly and strongly to presynaptic cholinergic nerve
terminals and inhibits the exocytosis of acetylcholine
by decreasing the frequency of acetylcholine release.
30 This results in local paralysis and hence relaxation
of the muscle afflicted by spasm.

35 For one example of treating neuromuscular
disorders, see U.S. Patent No. 5,053,005 to Borodic,
which suggests treating curvature of the juvenile

spine, i.e., scoliosis, with an acetylcholine release inhibitor, preferably Botulinum toxin A.

5 For the treatment of strabismus with Botulinum toxin type A, see Elston, J.S., et al., *British Journal of Ophthalmology*, 1985, 69, 718-724 and 891-896. For the treatment of blepharospasm with Botulinum toxin type A, see Adenis, J.P., et al., *J. Fr. Ophthalmol.*, 1990, 13 (5) at pages 259-264.
10 For treating squint, see Elston, J.S., *Eye*, 1990, 4(4):VII. For treating spasmodic and oromandibular dystonia torticollis, see Jankovic et al., *Neurology*, 1987, 37, 616-623.

15 Spasmodic dysphonia has been treated with Botulinum toxin type A. See Blitzner et al., *Ann. Otol. Rhino. Laryngol.*, 1985, 94, 591-594. Lingual dystonia was treated with Botulinum toxin type A according to Brin et al., *Adv. Neurol.* (1987) 50, 599-
20 608. Finally, Cohen et al., *Neurology* (1987) 37 (Suppl. 1), 123-4, discloses the treatment of writer's cramp with Botulinum toxin type A.

25 The term Botulinum toxin is a generic term embracing the family of toxins produced by the anaerobic bacterium *Clostridium botulinum* and, to date, seven immunologically distinct neurotoxins have been identified. These have been given the designations A, B, C, D, E, F and G. For further information concerning the properties of the various Botulinum
30 toxins, reference is made to the article by Jankovic and Brin, *The New England Journal of Medicine*, No. 17, 1990, pp. 1186-1194, and to the review by Charles L. Hatheway in Chapter 1 of the book entitled *Botulinum Neurotoxin and Tetanus Toxin*, L. L. Simpson, Ed.,
35

published by Academic Press Inc. of San Diego, California, 1989, the disclosures in which are incorporated herein by reference.

5 The neurotoxic component of Botulinum toxin has
a molecular weight of about 150 kilodaltons and is
thought to comprise a short polypeptide chain of about
50 kD which is considered to be responsible for the
toxic properties of the toxin, i.e., by interfering
10 with the exocytosis of acetylcholine, by decreasing
the frequency of acetylcholine release, and a larger
polypeptide chain of about 100 kD which is believed to
be necessary to enable the toxin to bind to the pre-
synaptic membrane.

15 The "short" and "long" chains are linked together
by means of a simple disulfide bridge. (It is noted
that certain serotypes of Botulinum toxin, e.g., type
E, may exist in the form of a single chain un-nicked
protein, as opposed to a dichain. The single chain
20 form is less active but may be converted to the
corresponding dichain by nicking with a protease,
e.g., trypsin. Both the single and the dichain are
useful in the method of the present invention.)

25 In general, four physiologic groups of *C. botuli-*
num are recognized (I, II, III, IV). The organisms
capable of producing a serologically distinct toxin
may come from more than one physiological group. For
example, Type B and F toxins can be produced by
30 strains from Group I or II. In addition, other
strains of clostridial species (*C. baratii*, type F;
C. butyricum, type E; *C. novyi*, type C₁ or D) have
been identified which can produce botulinum
35 neurotoxins.

Immunotoxin conjugates of ricin and antibodies, which are characterized as having enhanced cytotoxicity through improving cell surface affinity, are disclosed in European Patent Specification 0 129 434. The inventors note that botulinum toxin may be utilized in place of ricin.

Botulinum toxin is obtained commercially by establishing and growing cultures of *C. botulinum* in a fermenter and then harvesting and purifying the fermented mixture in accordance with known techniques.

Botulinum toxin type A, the toxin type generally utilized in treating neuromuscular conditions, is currently available commercially from several sources; for example, from Porton Products Ltd. UK, under the trade name "DYSPORT," and from Allergan, Inc., Irvine, California, under the trade name BOTOX®.

It is one object of the invention to provide novel treatments of neuromuscular disorders and conditions with various Botulinum toxin types. It is another object of the present invention to relieve pain with various Botulinum toxin types.

SUMMARY OF THE INVENTION

The present invention provides a method for relieving pain, associated with muscle contractions, a composition and a method of treating conditions such as cholinergic controlled secretions including excessive sweating, lacrimation and mucus secretions and a method for treating smooth muscle disorders including, but not limited to, spasms in the sphincter

of the cardiovascular arteriole, gastrointestinal system, urinary, gall bladder and rectum, which method comprises administering to the patient suffering from said disorder or condition a therapeutically effective amount of Botulinum toxin selected from the group consisting of Botulinum toxin types B, C, D, E, F and G.

Each serotype of Botulinum toxin has been identified as immunologically different proteins through the use of specific antibodies. For example, if the antibody (antitoxin) recognizes, that is, neutralizes the biological activity of, for example, type A it will not recognize types B,C,D, E, F or G.

While all of the Botulinum toxins appear to be zinc endopeptidases, the mechanism of action of different serotypes, for example, A and E within the neuron appear to be different than that of Type B. In addition, the neuronal surface "receptor" for the toxin appears to be different for the serotypes.

In the area of use of the Botulinum toxins in accordance with the present invention with regard to organ systems which involve the release of neurotransmitter, it is expected to introduce the toxins A, B, C, D, E, F, and G directly by local injections.

DETAILED DESCRIPTION

The Botulinum toxins used according to the present invention are Botulinum toxins type A, B, C, D, E, F and G.

The physiologic groups of *Clostridium botulinum* types are listed in Table I.

Table I. Physiologic Groups of *Clostridium botulinum*

Group	Toxin Sero-Type	Biochemistry	Milk Digest	Glucose Fermentation	Lipase	Phages & Plasmids	Phenotypically Related <i>Clostridium</i> (nontoxigenic)
I	A,B,F	proteolytic saccharolytic	+	+	+	+	<u>C. sporogenes</u>
II	B,E,F	nonproteolytic saccharolytic psychotrophic	-	+	+	+	
III	C,D	nonproteolytic saccharolytic	+	+	+	+	<u>C. novyi</u>
IV	G	proteolytic nonsaccharolytic	+	-	-	-	<u>C. subterminale</u>

These toxin types may be produced by selection from the appropriate physiologic group of *Clostridium botulinum* organisms. the organisms designated as Group I are usually referred to as proteolytic and produce Botulinum toxins of types A, B and F. The organisms designated as Group II are saccharolytic and produce Botulinum toxins of types B, E and F. The organisms designated as Group III produce only Botulinum toxin types C and D and are distinguished from organisms of Groups I and II by the production of significant amounts of propionic acid. Group IV organisms only produce neurotoxin of type G. The production of any and all of the Botulinum toxin types A, B, C, D, E, F and G are described in Chapter 1 of *Botulinum Neurotoxin and Tetanus Toxin*, cited above, and/or the references cited therein. Botulinum toxins types B, C, D, E, F and G are also available from various species of clostridia.

Currently fourteen species of clostridia are considered pathogenic. Most of the pathogenic strains produce toxins which are responsible for the various pathological signs and symptoms. Organisms which pro-

duce Botulinum toxins have been isolated from botulism outbreaks in humans (types A, B, E and F) and animals (types C and D). Their identities were described through the use of specific antitoxins (antibodies) developed against the earlier toxins. Type G toxin was found in soil and has low toxigenicity. However, it has been isolated from autopsy specimens, but thus far there has not been adequate evidence that type G botulism has occurred in humans.

10

Preferably, the toxin is administered by means of intramuscular injection directly into a local area such as a spastic muscle, preferably in the region of the neuromuscular junction, although alternative types of administration (e.g., subcutaneous injection), which can deliver the toxin directly to the affected region, may be employed where appropriate. The toxin can be presented as a sterile pyrogen-free aqueous solution or dispersion and as a sterile powder for reconstitution into a sterile solution or dispersion.

20

Where desired, tonicity adjusting agents such as sodium chloride, glycerol and various sugars can be added. Stabilizers such as human serum albumin may also be included. The formulation may be preserved by means of a suitable pharmaceutically acceptable preservative such as a paraben, although preferably it is unpreserved.

25

It is preferred that the toxin is formulated in unit dosage form; for example, it can be provided as a sterile solution in a vial or as a vial or sachet containing a lyophilized powder for reconstituting a suitable vehicle such as saline for injection.

30

35

TOP SECRET

5 In one embodiment, the Botulinum toxin is formulated in a solution containing saline and pasteurized human serum albumin, which stabilizes the toxin and minimizes loss through non-specific adsorption. The solution is sterile filtered (0.2 micron filter), filled into individual vials and then vacuum-dried to give a sterile lyophilized powder. In use, the powder can be reconstituted by the addition of sterile unpreserved normal saline (sodium chloride 10 0.9% for injection).

15 The dose of toxin administered to the patient will depend upon the severity of the condition; e.g., the number of muscle groups requiring treatment, the age and size of the patient and the potency of the toxin. The potency of the toxin is expressed as a multiple of the LD₅₀ value for the mouse, one unit (U) of toxin being defined as being the equivalent amount of toxin that kills 50% of a group of 18 to 20 female 20 Swiss-Webster mice, weighing about 20 grams each.

25 The dosages used in human therapeutic applications are roughly proportional to the mass of muscle being injected. Typically, the dose administered to the patient may be up from about 0.01 to about 1,000 units; for example, up to about 500 units, and preferably in the range from about 80 to about 460 units per patient per treatment, although smaller of 30 larger doses may be administered in appropriate circumstances such as up to about 50 units for the relief of pain and in controlling cholinergic secretions.

35 As the physicians become more familiar with the use of this product, the dose may be changed. In the Botulinum toxin type A, available from Porton,

00004057 0740

00004057 07404
TOTAL 25040660

DYSPORT, 1 nanogram (ng) contains 40 units. 1 ng of the Botulinum toxin type A, available from Allergan, Inc., i.e., BOTOX®, contains 4 units. The potency of Botulinum toxin and its long duration of action mean that doses will tend to be administered on an infrequent basis. Ultimately, however, both the quantity of toxin administered and the frequency of its administration will be at the discretion of the physician responsible for the treatment and will be commensurate with questions of safety and the effects produced by the toxin.

In some circumstances, particularly in the relief of pain associated with sports injuries, such as, for example, charleyhorse, botulinum type F, having a short duration activity, is preferred.

The invention will now be illustrated by reference to the following nonlimiting examples.

In each of the examples, appropriate areas of each patient are injected with a sterile solution containing the confirmation of Botulinum toxin. Total patient doses range from about 0.01 units to 460 units. Before injecting any muscle group, careful consideration is given to the anatomy of the muscle group, the aim being to inject the area with the highest concentration of neuromuscular junctions, if known. Before injecting the muscle, the position of the needle in the muscle is confirmed by putting the muscle through its range of motion and observing the resultant motion of the needle end. General anaesthesia, local anaesthesia and sedation are used according to the age of the patient, the number of sites to be injected, and the particular needs of the

patient. More than one injection and/or sites of injection may be necessary to achieve the desired result. Also, some injections, depending on the muscle to be injected, may require the use of fine,
5 hollow, teflon-coated needles, guided by electromyography.

Following injection, it is noted that there are no systemic or local side effects and none of the
10 patients are found to develop extensive local hypotonicity. The majority of patients show an improvement in function both subjectively and when measured objectively.

15

Example 1

The Use of Botulinum toxin Type in the Treatment
of Tardive Dyskinesia

20

A male patient, age 45, suffering from tardive dyskinesia resulting from the treatment with an antipsychotic drug, such as Thorazine or Haldol, is treated with 150 units of Botulinum toxin type B by direct injection of such toxin into the facial muscles. After 1-3 days, the symptoms of tardive
25 dyskinesia, i.e., orofacial dyskinesia, athetosis, dystonia, chorea, tics and facial grimacing, etc. are markedly reduced.

30

Example 1(a)

The method of Example 1 is repeated, except that a patient suffering from tardive dyskinesia is injected with 50-200 units of Botulinum toxin type C. A similar result is obtained.

35

Example 1(b)

5 The method of Example 1 is repeated, except that
a patient suffering from tardive dyskinesia is
injected with 50-200 units of Botulinum toxin type D.
A similar result is obtained.

Example 1(c)

10 The method of Example 1 is repeated, except that
a patient suffering from tardive dyskinesia is
injected with 50-200 units of Botulinum toxin type E.
A similar result is obtained.

Example 1(d)

15 The method of Example 1 is repeated, except that
a patient suffering from tardive dyskinesia is
injected with 50-200 units of Botulinum toxin type F.
20 A similar result is obtained.

Example 1(e)

25 The method of Example 1 is repeated, except that
a patient suffering from tardive dyskinesia is
injected with 50-200 units of Botulinum toxin type G.
A similar result is obtained.

Example 2

30 The Use of Botulinum toxin Type B in the Treatment
of Spasmodic Torticollis

35 A male, age 45, suffering from spasmodic
torticollis, as manifested by spasmodic or tonic
contractions of the neck musculature, producing

5 stereotyped abnormal deviations of the head, the chin
being rotated to one side, and the shoulder being
elevated toward the side at which the head is rotated,
is treated by injection with 100-1,000 units of
Botulinum toxin type E. After 3-7 days, the symptoms
are substantially alleviated; i.e., the patient is
able to hold his head and shoulder in a normal
position.

10 Example 2(a)

The method of Example 2 is repeated, except that
a patient suffering from spasmodic torticollis is
injected with 100-1,000 units of Botulinum toxin type
15 B. A similar result is obtained.

Example 2(b)

20 The method of Example 2 is repeated, except that
a patient suffering from spasmodic torticollis is
injected with 100-1,000 units of Botulinum toxin type
C. A similar result is obtained.

Example 2(c)

25 The method of Example 2 is repeated, except that
a patient suffering from spasmodic torticollis is
injected with 100-1,000 units of Botulinum toxin type
D. A similar result is obtained.

30

Example 2(d)

The method of Example 2 is repeated, except that
a patient suffering from spasmodic torticollis is

injected with 100-1,000 units of Botulinum toxin type E. A similar result is obtained.

5

Example 2(e)

The method of Example 2 is repeated, except that a patient suffering from spasmodic torticollis is injected with 100-1,000 units of Botulinum toxin type F. A similar result is obtained.

10

Example 2(f)

The method of Example 2 is repeated, except that a patient suffering from spasmodic torticollis is injected with 100-1,000 units of Botulinum toxin type G. A similar result is obtained.

15

20

Example 3

The Use of Botulinum toxin in the Treatment of
Essential Tremor

A male, age 45, suffering from essential tremor, which is manifested as a rhythmical oscillation of head or hand muscles and is provoked by maintenance of posture or movement, is treated by injection with 50-1,000 units of Botulinum toxin type B. After two to eight weeks, the symptoms are substantially alleviated; i.e., the patient's head or hand ceases to oscillate.

25

30

TOP SECRET

Example 3(a)

5 The method of Example 3 is repeated, except that
a patient suffering from essential tremor is injected
with 100-1,000 units of Botulinum toxin type C. A
similar result is obtained.

Example 3(b)

10 The method of Example 3 is repeated, except that
a patient suffering from essential tremor is injected
with 100-1,000 units of Botulinum toxin type D. A
similar result is obtained.

15 Example 3(c)

20 The method of Example 3 is repeated, except that
a patient suffering from essential tremor is injected
with 100-1,000 units of Botulinum toxin type E. A
similar result is obtained.

Example 3(d)

25 The method of Example 3 is repeated, except that
a patient suffering from essential tremor is injected
with 100-1,000 units of Botulinum toxin type F. A
similar result is obtained.

Example 3(e)

30 The method of Example 3 is repeated, except that
a patient suffering from essential tremor is injected
with 100-1,000 units of Botulinum toxin type G. A
similar result is obtained.

35

0000403 04404

The Use of Botulinum toxin in the Treatment of Spasmodic Dysphonia

10

15

20

25

30

Example 4(d)

The method of Example 4 is repeated, except that
35 a patient suffering from spasmodic dysphonia is

injected with 80-500 units of Botulinum toxin type F.
A similar result is obtained.

Example 4(e)

5

The method of Example 4 is repeated, except that
a patient suffering from spasmodic dysphonia is
injected with 8-500 units of Botulinum toxin type G.
A similar result is obtained.

10

Example 5

The Use of Botulinum toxin Types A-G in the
Treatment of Excessive Sweating, Lacrimation or
Mucus Secretion or Other Cholinergic Controlled
Secretions

15

20

25

30

35

A male, age 65, with excessive unilateral
sweating is treated by administering 0.01 to 50 units,
of Botulinum toxin, depending upon degree of desired
effect. The larger the dose, usually the greater
spread and duration of effect. Small doses are used
initially. Any serotype toxin alone or in combination
could be used in this indication. The administration
is to the gland nerve plexus, ganglion, spinal cord or
central nervous system to be determined by the
physician's knowledge of the anatomy and physiology of
the target glands and secretory cells. In addition,
the appropriate spinal cord level or brain area can be
injected with the toxin (although this would cause
many effects, including general weakness). Thus, the
gland (if accessible) or the nerve plexus or ganglion
are the targets of choice. Excessive sweating,
tearing (lacrimation), mucus secretion or
gastrointestinal secretions are positively influenced
by the cholinergic nervous system. Sweating and

FOR 20 25040690

tearing are under greater cholinergic control than mucus or gastric secretion and would respond better to toxin treatment. However, mucus and gastric secretions could be modulated through the cholinergic system. All symptoms would be reduced or eliminated with toxin therapy in about 1-7 days. Duration would be weeks to several months.

Example 6

10 The Use of Botulinum toxin Types A-G in the
 Treatment of Muscle Spasms in Smooth Muscle
 Disorders Such As Sphincters of the Cardiovascular
 Arteriole, Gastrointestinal System, Urinary or Gall
 Bladder, Rectal, Etc.

15 A male, age 30-40, with a constricted pyloric
 valve which prevents his stomach from emptying, is
 treated by administering 1-50 units of Botulinum
 toxin. The administration is to the pyloric valve
20 (which controls release of stomach contents into the
 intestine) divided into 2 to 4 quadrants, injections
 made with any endoscopic device or during surgery. In
 about 1-7 days, normal emptying of the stomach,
 elimination or drastic reduction in regurgitation
25 occurs.

Example 7

The Use of Botulinum toxin Types A-G in the
 Treatment of Muscle Spasms and Control of Pain
30 Associated with Muscle Spasms in Temporal Mandibular
 Joint Disorders

 A female, age 35, is treated by administration of
 0.1 to 50 units total of Botulinum toxin. The
35 administration is to the muscles controlling the

closure of the jaw. Overactive muscles may be identified with EMG (electromyography) guidance. Relief of pain associated with muscle spasms, possible reduction in jaw clenching occurs in about 1-3 days.

5

Example 8

The Use of Botulinum toxin Types A-G in the Treatment of Muscle Spasms and Control of Pain Associated with Muscle Spasms in Conditions Secondary to Sports Injuries (Charleyhorse)

10

A male, age 20, with severe cramping in thigh after sports injury is treated by administration of a short duration toxin, possible low dose (0.1-25 units) of preferably type F to the muscle and neighboring muscles which are in contraction ("cramped"). Relief of pain occurs in 1-7 days.

15

Example 9

The Use of Botulinum toxin Types A-G in the Treatment of Muscle Spasms and Control of Pain Associated with Muscle Spasms in Smooth Muscle Disorders Such as Gastrointestinal Muscles

20

25

A female, age 35, with spastic colitis, is treated with 1-100 units of Botulinum toxin divided into several areas, enema (1-5 units) delivered in the standard enema volume, titrate dose, starting with the lowest dose. Injection is to the rectum or lower colon or a low dose enema may be employed. Cramps and pain associated with spastic colon are relieved in 1-10 days.

30

00004057-074104

Example 9

The Use of Botulinum toxin Types A-G in the
Treatment of Muscle Spasms and Control of Pain
Associated with Muscle Spasms in Spasticity
5 Conditions Secondary to Stroke, Traumatic Brain or
Spinal Cord Injury

10 A male, age 70, post-stroke or cerebral vascular
event, is injected with 50 to 300 units of Botulinum
toxin in the major muscles involved in severe closing
of hand and curling of wrist and forearm or the
muscles involved in the closing of the legs such that
the patient and attendant have difficulty with
hygiene. Relief of these symptoms occurs in 7 to 21
15 days.

Example 10

The Use of Botulinum toxin Types A-G in the
20 Treatment of Patients with Swallowing disorders

A patient with a swallowing disorder caused by
excessive throat muscle spasms is injected with about
1 to about 300 units of Botulinum toxin in the throat
muscles. Relief the swallowing disorder occurs in
25 about 7 to about 21 days.

00004057 07404

The Use of Botulinum toxin Types A-G in the Treatment of Patients with Tension Headache

10

15

20

25